

Message structure: Transaction report information (secl.str.001.01)

Description:

The message sent by KDPW_CCP to clearing members. The message contains information about not reporting transaction to Trade Repository for indicating accounts due to the lack of an active LEI code of the entity required to report.

Structure:

| No. | or | < XML Tag > | Name | Multiplicity | Type |
|-------|-------|------------------------|--------------------------------|--------------|------------------------------|
| 0 | | <u>KDPWDocument</u> | KDPW system message | [1..1] | <u>KDPWDocument</u> |
| 0.1 | | <u>secl.str.001.01</u> | Transaction report information | [1..n] | <u>secl.str.001.01</u> |
| 1 | | <u>GnInf</u> | General information | [1..1] | <u>GeneralInformation</u> |
| 1.1 | | <u>SndrMsgRef</u> | Sender message reference | [1..1] | <u>Max16Text</u> |
| 1.2 | | <u>FuncOfMsg</u> | Function of message | [1..1] | <u>FunctionOfMessage</u> |
| 1.3 | | <u>CreDtTm</u> | Creation date and time | [0..1] | <u>DateAndDateTimeChoice</u> |
| 1.3.1 | { lub | <u>Dt</u> | Date | [1..1] | <u>ISODate</u> |
| 1.3.2 | lub } | <u>DtTm</u> | Date and time | [1..1] | <u>ISODateTime</u> |
| 1.4 | | <u>EligDt</u> | Eligibility date | [1..1] | <u>ISODate</u> |
| 2 | | <u>LEIDtls</u> | LEI details | [1..n] | <u>LEIDetails</u> |
| 2.1 | | <u>LEIId</u> | LEI identifier | [0..1] | <u>LEIIdentifier</u> |
| 2.2 | | <u>NKKTtoLEI</u> | NKK assigned do LEI | [1..1] | <u>Max8Text</u> |
| 2.3 | | <u>ClrAcctId</u> | Clearing account identifier | [1..n] | <u>Max16Text</u> |

Message component elements:

| | |
|--|--|
| KDPWDocument- KDPW system message (element) | |
| Description | KDPW system message |
| Type | <u>KDPWDocument</u> |
| Source | <xs:element name="KDPWDocument" type="KDPWDocument" /> |
| Code4Text- Qualifier (simple type) | |
| Description | Qualifier, code |
| Type | Derivative of Type: xs:string |

| Format | Min. length=4 Max. length=4 | | | | |
|---|---|------|-------------|------|-------------|
| Source | <pre> <xs:simpleType name="Code4Text" > <xs:restriction base="xs:string" > <xs:whiteSpace value="collapse" /> <xs:minLength value="4" /> <xs:maxLength value="4" /> </xs:restriction> </xs:simpleType> </pre> | | | | |
| DateAndDateTimeChoice- Date and time (complex type) | | | | | |
| Description | Date and time | | | | |
| Component elements | Dt DtTm | | | | |
| Source | <pre> <xs:complexType name="DateAndDateTimeChoice" > <xs:sequence > <xs:choice > <xs:element name="Dt" type="ISODate" /> <xs:element name="DtTm" type="ISODateTime" /> </xs:choice> </xs:sequence> </xs:complexType> </pre> | | | | |
| DateAndDateTimeChoice/Dt- Date (element) | | | | | |
| Description | Date | | | | |
| Type | ISODate | | | | |
| Source | <pre><xs:element name="Dt" type="ISODate" /></pre> | | | | |
| DateAndDateTimeChoice/DtTm- Date and time (element) | | | | | |
| Description | Date and time | | | | |
| Type | ISODateTime | | | | |
| Source | <pre><xs:element name="DtTm" type="ISODateTime" /></pre> | | | | |
| FunctionOfMessage- Function of message (simple type) | | | | | |
| Description | Function of message | | | | |
| Type | Derivative of Type: xs:string | | | | |
| Format | <table border="0"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>NEWM</td> <td>New message</td> </tr> </tbody> </table> | Code | Description | NEWM | New message |
| Code | Description | | | | |
| NEWM | New message | | | | |
| Source | <pre> <xs:simpleType name="FunctionOfMessage" > <xs:restriction base="xs:string" > <xs:enumeration value="NEWM" /> </xs:restriction> </xs:simpleType> </pre> | | | | |
| GeneralInformation- General information (complex type) | | | | | |

| | |
|--|--|
| Description | General information |
| Component elements | SndrMsgRef FuncOfMsg CreDtTm {0,1} EligDt |
| Source | <pre><xs:complexType name="GeneralInformation" > <xs:sequence > <xs:element name="SndrMsgRef" type="Max16Text" /> <xs:element name="FuncOfMsg" type="FunctionOfMessage" /> <xs:element name="CreDtTm" type="DateAndDateTimeChoice" minOccurs="0" /> <xs:element name="EligDt" type="ISODate" /> </xs:sequence> </xs:complexType></pre> |
| GeneralInformation/SndrMsgRef- Sender message reference (element) | |
| Description | Sender message reference |
| Type | Max16Text |
| Source | <pre><xs:element name="SndrMsgRef" type="Max16Text" /></pre> |
| GeneralInformation/FuncOfMsg- Function of message (element) | |
| Description | Function of message |
| Type | FunctionOfMessage |
| Source | <pre><xs:element name="FuncOfMsg" type="FunctionOfMessage" /></pre> |
| GeneralInformation/CreDtTm- Creation date and time (element) | |
| Description | Creation date and time |
| Type | DateAndDateTimeChoice |
| Source | <pre><xs:element name="CreDtTm" type="DateAndDateTimeChoice" minOccurs="0" /></pre> |
| GeneralInformation/EligDt- Eligibility date (element) | |
| Description | Eligibility date |
| Type | ISODate |
| Source | <pre><xs:element name="EligDt" type="ISODate" /></pre> |
| ISODate- Date (simple type) | |
| Description | Date |
| Typ | Derivative of type: xs:date |
| Format | |
| Source | <pre><xs:simpleType name="ISODate" > <xs:restriction base="xs:date" > </xs:restriction> </xs:simpleType></pre> |

| ISODateTime- Date and time (simple type) | | | | | | | | | | |
|---|---|--------------------------------------|-------------|------|------|--------|--------------------------------------|------|----------|--------------------------------------|
| Description | Date and time | | | | | | | | | |
| Type | Derivative of type: xs:dateTime | | | | | | | | | |
| Format | | | | | | | | | | |
| Source | <pre><xs:simpleType name="ISODateTime" > <xs:restriction base="xs:dateTime" > </xs:restriction> </xs:simpleType></pre> | | | | | | | | | |
| KDPWDocument- KDPW system message (complex type) | | | | | | | | | | |
| Description | KDPW system message | | | | | | | | | |
| Attributes | <table border="1"> <thead> <tr> <th>Name</th> <th>Description</th> <th>Type</th> </tr> </thead> <tbody> <tr> <td>Sndr</td> <td>Sender</td> <td>KDPWMemberIdentifier</td> </tr> <tr> <td>Rcvr</td> <td>Receiver</td> <td>KDPWMemberIdentifier</td> </tr> </tbody> </table> | Name | Description | Type | Sndr | Sender | KDPWMemberIdentifier | Rcvr | Receiver | KDPWMemberIdentifier |
| Name | Description | Type | | | | | | | | |
| Sndr | Sender | KDPWMemberIdentifier | | | | | | | | |
| Rcvr | Receiver | KDPWMemberIdentifier | | | | | | | | |
| Component elements | secl.str.001.01 { 1,unbounded } | | | | | | | | | |
| Source | <pre><xs:complexType name="KDPWDocument" > <xs:sequence > <xs:element name="secl.str.001.01" type="secl.str.001.01" maxOccurs="unbounded" /> </xs:sequence> < xs:attribute name="Sndr" type="KDPWMemberIdentifier" use="required" /> < xs:attribute name="Rcvr" type="KDPWMemberIdentifier" use="required" /> </xs:complexType></pre> | | | | | | | | | |
| KDPWDocument/secl.str.001.01- Transaction report information (element) | | | | | | | | | | |
| Description | Transaction report information | | | | | | | | | |
| Type | secl.str.001.01 | | | | | | | | | |
| Source | <pre><xs:element name="secl.str.001.01" type="secl.str.001.01" maxOccurs="unbounded" /></pre> | | | | | | | | | |
| KDPWMemberIdentifier- KDPW member identifier (simple type) | | | | | | | | | | |
| Description | KDPW member identifier | | | | | | | | | |
| Type | Derivative of type: xs:string | | | | | | | | | |
| Format | Min. length=4 Max. length=4 | | | | | | | | | |
| Source | <pre><xs:simpleType name="KDPWMemberIdentifier" > <xs:restriction base="xs:string" > <xs:whiteSpace value="collapse" /> <xs:minLength value="4" /> <xs:maxLength value="4" /> </xs:restriction> </xs:simpleType></pre> | | | | | | | | | |

| | |
|--|---|
| LEIDetails- LEI details (complex type) | |
| Description | LEI details |
| Component elements | LEIID {0,1} NKKToLEI ClrAcctId {1,unbounded} |
| Source | <pre><xs:complexType name="LEIDetails" > <xs:sequence > <xs:element name="LEIID" type="LEIIDentifier" minOccurs="0" /> <xs:element name="NKKToLEI" type="Max8Text" /> <xs:element name="ClrAcctId" type="Max16Text" maxOccurs="unbounded" /> </xs:sequence> </xs:complexType></pre> |
| LEIDetails/LEIID- LEI Identifier (element) | |
| Description | LEI Identifier |
| Type | LEIIDentifier |
| Source | <pre><xs:element name="LEIID" type="LEIIDentifier" minOccurs="0" /></pre> |
| LEIDetails/NKKToLEI- NKK assigned to LEI (element) | |
| Description | NKK assigned to LEI |
| Type | Max8Text |
| Source | <pre><xs:element name="NKKToLEI" type="Max8Text" /></pre> |
| LEIDetails/ClrAcctId- Clearing account identifier (element) | |
| Description | Clearing account identifier |
| Type | Max16Text |
| Source | <pre><xs:element name="ClrAcctId" type="Max16Text" maxOccurs="unbounded" /></pre> |
| LEIIDentifier- LEI Identifier (simple type) | |
| Description | LEI Identifier |
| Type | Derivative of type: xs:string |
| Format | xs:pattern=[A-Z0-9]{18,18}[0-9]{2,2} |
| Source | <pre><xs:simpleType name="LEIIDentifier" > <xs:restriction base="xs:string" > <xs:pattern value="[A-Z0-9]{18,18}[0-9]{2,2}" /> </xs:restriction> </xs:simpleType></pre> |
| Max8Text- Text (simple type) | |
| Description | Maximum 8 characters text |
| Type | Derivative of type: xs:string |

| | |
|---|---|
| Format | Min. length=1 Max. length=8 |
| Source | <pre><xs:simpleType name="Max8Text" > <xs:restriction base="xs:string" > <xs:whiteSpace value="collapse" /> <xs:minLength value="1" /> <xs:maxLength value="8" /> </xs:restriction> </xs:simpleType></pre> |
| Max16Text- Text (simple type) | |
| Description | Maximum 16 characters text |
| Type | Derivative of type: xs:string |
| Format | Min. length=1 Max. length=16 |
| Source | <pre><xs:simpleType name="Max16Text" > <xs:restriction base="xs:string" > <xs:minLength value="1" /> <xs:maxLength value="16" /> </xs:restriction> </xs:simpleType></pre> |
| secl.str.001.01- Transaction report information (complex type) | |
| Description | Transaction report information |
| Component elements | <u>GnInf</u> <u>LEIDtls</u> { 1,unbounded} |
| Source | <pre><xs:complexType name="secl.str.001.01" > <xs:sequence > <xs:element name="GnInf" type="GeneralInformation" /> <xs:element name="LEIDtls" type="LEIDetails" maxOccurs="unbounded" /> </xs:sequence> </xs:complexType></pre> |
| secl.str.001.01/GnInf- General information (element) | |
| Description | General information |
| Type | <u>GeneralInformation</u> |
| Source | <pre><xs:element name="GnInf" type="GeneralInformation" /></pre> |
| secl.str.001.01/LEIDtls- LEI details (element) | |
| Description | LEI details |
| Type | <u>LEIDetails</u> |
| Source | <pre><xs:element name="LEIDtls" type="LEIDetails" maxOccurs="unbounded" /></pre> |